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PTO/SB/021 (08-00)

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>		Application Number	09/809,584
		Filing Date	March 15, 2001
		First Named Inventor	Mark ROSE
		Group Art Unit	2164
		Examiner Name	Not Known
Total Number of Pages in This Submission		Attorney Docket Number	1991-00200

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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Or Individual Name	DAN J. KRUEGER
Signature	
Date	MARCH 21, 2003

CERTIFICATE OF MAILING

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Mark ROSE §
SERIAL NO.: 09/809,584 § GROUP ART UNIT:
FILED: March 15, 2001 § 2164
FOR: Online Trading System Having § EXAMINER:
Ally-Initiated Trading § Not Known Yet

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GROUP 3600

INFORMATION DISCLOSURE STATEMENT

03/31/2003 MDMATE1 00000127 032769 09809584
01 FC:1806 180.00 CH

Atty. Dkt. No.: 1991-00200
Date: March 21, 2003

Commissioner for Patents
Washington, D. C. 20231

Sir:

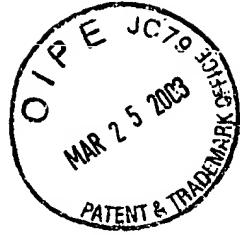
This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicants are aware. A copy of each publication listed on Form PTO-1449 is enclosed herewith.

The submission of this Information Disclosure Statement and the references submitted therewith is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation, that no better art exists. Applicants hereby reserve the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and the situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicants' invention under 35 U.S.C. § 102 or § 103.

No Office Action on the merits has been received in the present application. Nonetheless, in the event that an Office Action dated prior to the mailing date of this Information Disclosure Statement has been issued, please charge Deposit Account 03-2769, Conley Rose,

P.C., in the amount of \$180, so that this Information Disclosure Statement may be considered under Rule 1.97(c).

Respectfully submitted,



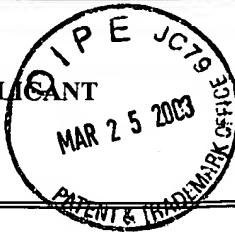
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Ahens

Form PTO-1449 (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)



Atty. Docket No.
1991-00200

Serial No.
09/809.584

Applicant
Mark ROSE

Filing Date
March 12, 201

Group
2164

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
AA	5,873,071	02/16/1999	<i>FERSTENBERG et al.</i>	705	37	05/15/1997
AB	6,408,282 B1	06/18/2002	<i>BUIST</i>	705	37	04/15/1999
AC	US 2002/009161611A1	07/11/02	<i>MINTON</i>	705	37	07/29/1999
AD	US 2002/0091615 A1	07/11/2002	<i>SALVANI</i>	705	37	01/09/2001
AE	US 2001/0003179 A1	06/07/2001	<i>MARTYN et al.</i>	705	37	01/23/2001
af	Us 2001/0044771 a1	11/22/2001	<i>USHER et al.</i>	705	37	05/16/2001

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	Translation
							YES NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER _____ **DATE CONSIDERED** _____

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.